Interactions Between Nonpolar Compounds and Soil Organic Carbon Under Low Redox
Potentials: Implications for Bioavailability
Jessica E. Sharpe and Andrew Ogram*
Soil and Water Sciences Department, University of Florida, Gainesville, FL USA
Jessica Sharpe PO Box 110290 Soil and Water Sciences Department University of Florida Gainesville, FL 32611-0290  Jessica Elaine Sharpe @gmail.com 805-338-2259
*Corresponding author: Andrew Ogram PO Box 110290 Soil and Water Sciences Department University of Florida Gainesville, FL 32611-0290 USA aogram@ufl.edu Phone: 352-294-3138
Keywords for Index: Dissolved organic matter, hrganic nonpolar compounds, Bioavailability, Biodegradation, Anoxic, Anaerobic

28 Abstract

The association between redox potential in soils and sediments, and availability of nonpolar compounds may play an important role in bioavailability of these compounds for microbial degradation; however, this aspect of bioavailability is poorly understood. This review aims to summarize the literature concerning the impacts that low redox environments have on sorption and desorption, and on the concentrations of dissolved organic carbon and its role in contaminant bioavailability. Multiple models of sorption have been used to predict contaminant behavior, including a dual-mode model to explain nonideal sorption tendencies. Laboratory studies have shown that environments with low redox potentials brought about by microbial activity may cause sequestered nonpolar compounds to be released. This increase in dissolved organic carbon allows for greater bioavailability, increasing the potential for the compound to be metabolized by microorganisms. The formation of a previously proposed hemi-micelle model may be used as a model to explain the transfer of the contaminant to a degrading cell for uptake and metabolism. A clearer understanding of this relationship will aid in prediction and control of the biodegradation of pollutants and toxic compounds found in low redox environments.

43 Introduction

Hydrophobic Organic Contaminants (HOCs), including polyaromatic hydrocarbons (PAH), are often toxic and carcinogenic, and may be released into the environment from both human activities and from natural sources. Although the total amounts of PAHs in soils and sediments are unknown, a U.S Geological Survey study indicated that PAH concentrations tend to increase with population, energy consumption, and land use (Van Metre and Van Der See 1997). HOCs range from pesticides to by-products of fossil fuel combustion, and may persist for long periods of time in the environment (Sorensen et al. 2005). Due to their low aqueous solubilities, HOCs become sorbed by soil particles and may resist extraction and biodegradation (Mao et al. 2002); however, the impacts of an HOC's interactions with dissolved organic carbon (DOC) may be more complex with respect to bioavailability. Most research on this issue has focused on sorption under aerobic conditions, although much contaminated soil is found in anaerobic settings under

reduced conditions (i.e., low redox potentials). The structure and concentrations of DOC are strongly influenced by redox potential (Pravecek et al. 2005), which may play a significant role in the solubility and potential bioavailability of HOCs. Assessing the fate of these compounds in anoxic soils and sediments is essential for accurate prediction of the exposure of humans and wildlife. This paper will address molecular models of the interaction between HOC and Soil Organic Matter (SOM), the impacts of redox potentials on the solubility and molecular structures of DOC, and the availability and biodegradation of HOCs under low redox potentials.

# **Interactions Between HOCs and Organic Matter**

## The importance of polarity

The sorption and desorption of HOCs is controlled by many factors; however, the polarity of Soil Organic Matter (SOM) and DOC typically exhibits an inverse relationship with the sorption of HOCs (Pedersen et al. 1999). Some research has suggested that the hydrophobic fraction of DOC harbors a greater number of sorption sites for HOCs (Pan et al. 2008) than does the more polar fractions; however, recent data have indicated a high sorption rate for aliphatic rich SOM. This complicates the previous notion that sorption coefficients are directly associated with the aromatic C content (Pfaender and Kim 2005). Kang and Xing (2005) found that HOC sorption is directly linked to aliphatic material in SOM, as supported by his research showing humin fractions with the greatest aliphatic carbon contents had increased sorption ability in comparison to humic acids. Humin is the portion of humic material that is not soluble at any pH value in an aqueous solution (Stevenson 1994). Pan et al. (2008) suggested that aromatic and aliphatic carbons contribute to HOC-SOM sorption, and polarity could be utilized to understand SOM sorption mechanisms.

As recognition of the importance of SOM to sorption, the sorption of non-ionic compounds to soil is frequently described by the carbon-normalized distribution coefficient ( $K_{oc}$ )(Smernik and Kookana 2015). The  $K_{oc}$  is the ratio of the chemical mass adsorbed to the soil versus the amount dissolved at equilibrium, normalized to the mass of carbon in the soil, and can be incorporated into models for predicting the mobility

of HOCs in soil. A high  $K_{oc}$  indicates a less mobile HOC, and may vary dependent on the structure of the SOM (Smernik and Kookana 2015). Binding degrees are represented by  $K_{oc}$  and are dependent on the physiochemical composition of SOM for individual HOCs. A significant amount of data have suggested that aromaticity has the greatest influence on  $K_{oc}$ , although this relationship may be complex. Smernik and Kookana (2015) found that  $K_{oc}$  increased with aromatic C content and decreased with O-alkyl C and alkyl C content, which may be due to sorption sites being obstructed by organic matter high in these functional groups.

In the case of DOC, HOC partitioning between DOC and water is typically described by a linear sorption isotherm (Suffet and Belton 1985); however, certain types of binding results in nonlinear sorption isotherms. Pan et al. (2007) used two PAHs (penanthene and pyrene) to demonstrate that occupation of competitive binding sites can result in nonideal binding behavior. Due to this reasoning, isotherm nonlinearity is invoked to explain HOC binding behaviors (Hur et al. 2011).

#### **Conceptual Models for HOC Sorption**

A critical step in the interaction beween HOCs and SOM may be the diffusion of the HOC into the SOM matrix. Humic substances are known to swell during absorption of nonpolar molecules (Marschner and Kalbitz. 2003), which may provide clues to the mechanism of sorption. Lyon (1995) showed that during the sorption process, some nonpolar molecules react with peat with a resulting change in volume. A noteworthy change was the volume shrinkage of one peat by 26% after reacting with propyl sulfone for 8 weeks. Heating or swelling of SOM results in increased linearity of sorption, as predicted from the behavior of "glassy" (discussed below) polymers. The increase in linearity of sorption with swelling has been shown in recent studies; after addition of swelling solvents to organic soils, swelling was noted and the respective isotherms became more linear (Pravecek et al. 2006). A similar study noted isotherm linearity of phenyl ureas with a soil mixed with a known swelling solvent (dimethyl sulfoxide) (Xing and Pignatello 1997). A possible explanation for the increased linearity with swelling was introduced by Huang and Weber (1997): Sorbing molecules are able to diffuse through swollen SOM regions. The pores in these swollen regions

can stretch or shrink with HOC changes in aqueous-phase concentrations. The flexible SOM areas work in pseudo-aqueous partitioning phases for hydrophobic solutes, displaying almost linear and no hysteretic sorption. Under reducing conditions, SOM domains are more rigid and follow a Langmuir-type adsorption, thus resulting in nonlinear sorption likely due to exclusion of the molecules from access to binding functional groups. As condensed regions increase in reduced SOM, nonlinearity should also increase, and increase sorption for hydrophobic solutes. This is due to increased affinity between SOM and hydrophobic solutes and an increase in ability for hydrophobic molecules to penetrate highly condensed SOM or expand the SOM matrix.

The concepts of "glassy" and "rubbery" domains within SOM have been used to describe sorption and desorption kinetics (Pravecek et al. 2006). The glassy phase, composed of aromatic carbon, is proposed to contribute to both linear and nonlinear sorption. This glassy condensed phase is characterized by char or soot with high affinity adsorption sites, and is also considered to be more aromatic and less polar. High molecular weights, cross linking, and unsaturated bonds reduce flexibility in molecular SOM and so a glassy state will take shape (Pan et al. 2008). The so-called rubbery phase, dominated by aliphatic regions, contributes to linear sorption and is responsible for partitioning (mobility of compound). These multiple domains ultimately effect sorption rates (Pravecek et al. 2006). Rubbery domains play a dominant role in linear sorption whilst glassy domains contribute to both linear and also nonlinear sorption (Pan et al. 2008).

The dual-mode model features SOM having both partition and adsorption domains (Xing and Pignatello 1996). The glassy rigid phase includes sorption sites made of somewhat permanent nanopore structures and can be subject to co-solute competition. White et al. (1999) showed that there is a direct correlation between bioavailability and desorption rates of HOCs in respect to aging. It has been proposed that because of condensed structure of the glassy domain, HOCs sorbed into this fraction are poorly bioavailable in comparison to the rubbery phase (Cuypers et al. 2002). The linear components of the rubbery phase allow for HOCs to be the most bioavailable due to its prompt equilibrium with interstitial waters, while the non-linear attributes of the glassy phase impede bioavailable fractions (Burgess et al. 2003).

These mechanisms work together to determine bioavaialabity of HOC's dependent upon the respective domains (Fig 1).

#### The impacts of diagenesis on SOM molecular structure and sorption

131

132

133

134

135

136

137

138

139

140

141

142

143

144

145

146

147

148

149

150

151

152

153

154

155

156

To understand the nature of organic matter and its interaction with HOC, the system should be viewed as a complex, dynamic system of diagenesis, and not only in terms of static molecular properties. A critical driver in diagenesis is microbial activity (Schmidt et al. 2011). The initial phases of SOM formation and humification processes are highly influenced by microbial reactions (Pfaender et al. 2005). Soil factors that increase microbial metabolic activity will correspondingly increase desorption of PAHs or increase PAH aqueous concentration, and potentially escalate degradation rates (Pravecek et al. 2006). Increases in nonlinear sorption are consistent with increases in diagentic maturity and condensed regions. A study by Gunasekara et al. (2003) reported that linear isotherms were produced after aromatic carbon was removed by bleaching or hydrolysis of humic acid. Advanced diagenesis results in aromatization and condensation of fractions of the molecule that are linked to nonlinear and hysteretic sorption-desorption. Geosorbents that have undergone a substantial amount of diagenesis are reported to exhibit a higher degree of sequestration of PAHs. Furthermore, nonpolar compounds have been shown to release slowly from geosorbent material. Desorption rates are slower with high degrees of SOM condensation (a reaction where two molecules combine to create a larger one) (Käcker et al 2002). The lower rate of desorption may be observed with higher condensation due to the molecules' chemical properties becoming more nonpolar and rigid. This phenomenon raises the issue of biostabilization or the formation of bound residues (discussed below), and if the residual HOC remaining in soil is being addressed in bioremediation efforts. Additionally, the formation of pores within SOM may directly increase sorption nonlinearity as well as sorptiondesorption hysteresis (Pan et al. 2008). The kinetics of HOC desorption reflects these domain phases. The initial desorption is a fast release through the macro-mesopore network, which is then followed by a slow desorption rate due to entrapment along hydrophobic pore walls (Zhu et al. 2008).

Nonlinear sorption may result in nonideal transport through soil (as predicted by the two-domain model), which would yield erroneous retardation estimates if not accounted for. In models for prediction

of the fate and transport of HOC, nonlinear sorption would more accurately depict the fact that retardation will be lower and contaminant plumes would be larger. In addition, breakthrough curves may be more asymmetrical due to nonlinear sorption (Hu and Brusseau 1998). Transport of HOCs through a soil column depends on both position and time. Contaminants move through a soil medium as they fill up available sorption sites. That movement will increase the overall adsorption through time as more sites become available at the boundary. As sites become less available (potential volume of available sites decreases in a soil medium) the rate of sorption decreases through time.

Recent research has suggested that molecular characteristics of SOM may play only a part in overall partitioning (Smernik and Kookana 2015). Environmental influences such as aeration, pH, temperature, and soil structure have all been found to play a significant part in defining the sorption of HOCs (Pravecek et al. 2006). This information is vital to biodegradation research because the aqueous phase concentration of HOCs is determined by SOM and HOC interactions. This in turn determines the mobilization and reactivity of HOCs in the soil (Kim et al 2008).

It has been well established that sorption processes vary dependent upon the available organic matter fraction due to its reactivity with the respective HOC. Diagenetic processes may include the alteration of biopolymers to humic substances by both biotic and abiotic processes. Shale materials, subsurface materials with extremely high diagenetic alteration, have been found to have both the highest isotherm nonlinearity and sorption capacity (Young et al. 1995). Similarly, another study found that the glassy and rubbery domains were dependent on the origin and nature of the soil organic matter. Condensed domains in organic matter with little diagenetic alteration contain elevated amounts of aliphatic C, while condensed domains with high diagenesis harbored higher amounts of aromatic C (Cuypers et al. 2002). It can then be inferred using the dual-mode model that nonlinear sorption tendencies are related to binding of the condensed regions that were formed during diagenesis.

#### **Formation of Bound Residues**

Sequestration is a pehenomenon where the HOC molecules diffuse into microbiologically inaccessible sites in the mineral-organic complex of the organic soil particle. Sequestration increases with
time for many persistent contaminants. The fate of the sequestered contaminant is often transformation
into bound residue. This bound complex should theoretically lower bioavailability of the HOC (Ling et al.
2010). Bound residues are linked by covalent bonds between the PAH microbial metabolites and the SOM.
It is hypothesized that these covalent bonds are made by enzyme-catalyzed oxidative cross-coupling
reactions. The formation of bound residue was studied by Richnow et al. (Richnow et al. 2000). Utilizing
[1-13C]-labeled phenanthrene to follow the biotransformation of PAHs. The results showed that microbial
processes promote formation of bound-residues. Another study by Käcker et al. (2002) was the first to show
a PAH covalently binding to soil humic material. If PAHs and their respective metabolites are covalently
bound to SOM, they are usually considered indefinitely a part of humus and no longer an environmental
threat.

# The Impacts of Redox Potential on HOC Sorption

# **Increase in Solubility**

Reduced environments are associated with increased amounts of DOC, and reduced DOC tends to exhibit a greater affinity than oxidized DOC for HOCs (Mao et al. 2002). Research has shown that PAH-colloid sorption increases with core depth, which is assumed to represent lower redox conditions. Higher PAH concentrations have also been observed in the aqueous phase under reduced conditions (Pravecek et al. 2005), and studies have shown that that DOC promotes increased solubility of such compounds as PCBs and DDT, which typically have very low aqueous solubilities. Other compounds, such as PCP, have shown increased water-solubility in the presence of mineral colloids with DOC (D'Angelo and Reddy 2003).

Sorbed PAHs can be stimulated to disassociate into the aqueous phase in anoxic environments, as they complex with released DOC. Kim et al. (2008) reported that pyrene was highly sorbed to soil under oxic conditions, and postulated it could be from pyrene metabolites bound to soil constituents. Oxic soils showed a higher sorption for chlorophenols when compared to anoxic soils. It was proposed that this may

be due to enhancement of hydrophobic interactions due to the conversion of chlorophenols to neutral forms (Kim and Pfaender 2005).

The mobility and bioavailability of bound HOCs are influenced by redox conditions and, as such, are controlled by the terminal acceptor for microbial activities. Kim et al. (2008) reported that microbes utilizing sulfate as a terminal electron acceptor increased soluble SOM concentrations and thus increased PAH binding with DOC. The researchers concluded that sulfate reduction increased DOC due to the lowering of redox conditions and consequential H<sup>+</sup> utilization, allowing for SOM partitioning and leading to increased binding between PAH and DOC. They also concluded that properties of SOM are changed under microbially-mediated redox conditions. Thus, DOC liberated under anaerobic incubation was more aromatic, more condensed, and had a greater sorption capacity for PAHs.

## **Production of DOC under low redox potentials**

DOC originates from decomposed biota and microbial byproducts (Richnow et al. 2000). Two main factors contribute to formation of DOC: microbial activity; and pH. These two influences are largely interrelated. Most studies show that disassociation of DOC is directly correlated with high pH (Kalbitz et al. 2000). With changes in pH, humic substances exhibit shifts in degree of protonation (Marschner and Kalbitz 2003). After aerobic and facultative microbes respire the available dissolved oxygen, the redox potential (Eh) begins to drop. As anaerobic microorganisms begin to reduce available soil minerals, pH rises due to consumption of H<sup>+</sup> ions. When pH is increased, adsorption capacity of DOC is limited and therefore DOC solubility increases (Kalbitz et al. 2000). Several authors have found a relationship between the rise in pH due to reduced conditions and a rise in DOC dissolution (Huang et al. 2003, Kalbitz et al. 2000). It has been shown that in elevated pH conditions, more SOM is released and PAH binding with DOC was greater (Kim et al. 2008).

While most research has indicated that DOC release is directly linked to pH (Kalbitz et al. 2000), another line of research indicated that low pH can contribute to DOC formation. Lower pH values can cause the dissolution of organo-metal complexes, which may then contribute to release of SOM from the

solid matrix (Hu and Brusseau, 1998). Other studies suggest that increasing pH produces an increase in DOC concentration (Kalbitz et al. 2000). Microbes control the redox potential of soils and also aid in diagenetic SOM development (Pfaender and Kim 2005). Anaerobic microbes are known to alter soil conditions such as pH which would then lead to increases in DOC (Pravecek et al. 2005). DOC solubility can usually be increased by addition of Na<sup>+</sup> or K<sup>+</sup>, which expands DOC molecules (Marschner and Kalbitz 2003).

## **Chemical alteration of DOC under low redox potentials**

DOC increases solubility of organic compounds (Marschner et al. 2003). There is research showing that supplementation of DOC increases mineralization of HOCs with respect to four to six-ring PAHs (Bengtsson and Zerhouni 2003). Kim et al. (2008b) reported that anoxic environments created by microbial activity help dissolve soil-bound OM; and DOC helps to aid in desorption of PAHs through sorption. The DOC produced under anaerobic incubation has a significant aromatic component and has a higher affinity for PAHs, resulting in an increase in concentration found in the aqueous phase.

Pfaender and Kim (2005) found that DOC with increased humic acid content exhibited higher sorption affinities for pyrene. They concluded that reduced conditions result in "humification-like alteration" of DOC and in greater sorption affinities for the PAH(s). DOC has also been reported to have higher sorption with pyrene in anaerobic conditions than when compared to aerobic conditions (D'Angelo and Reddy 2003). It has also been found that humin has relatively high aromaticity, and became more nonpolar under low redox potentials, which caused an increase in pyrene sorption rates compared to biocide treated humin (Pfaender and Kim 2005). Humin fractions are usually more aliphatic and tend to have higher  $K_{\rm OC}$  values. Due to anaerobic incubation increasing SOM humification, which is similar to diagenesis, the higher sorption capacity for pyrene has been reported to be more nonlinear and hysteric. Similar effects were also found for DDT. DOC increases desorption rates of soil-bound DDT. The sediment-water partition coefficient showed a large decrease when humic matter was added to the aqueous phase DOC (Caron et al. 1985).

# **DOC Under Reduced Conditions**

The main content of SOM and DOC is humic substances, and this fraction is what controls sorption tendencies of HOCs (Pan et al. 2008).  $K_{OC}$  of fulvic acids is usually lower than humic and humin fractions.  $K_{OC}$  increases with aromaticity in humic acids. Humin is usually more aliphatic and tend to exhibit higher  $K_{OC}$  values (Smernik and Kookana 2015).  $K_{OC}$  for HOCs is proportional to the aromatic C content of humic acids (Pedersen et al. 1999). Pan et al. (2008) reported that sorption/desorption hysteresis follows the order of FA (fulvic acid)<HA(humic acid)<HM(humin). Burgess et al. (2003) found that PAH-colloid sorption tendencies increased with depth of soil, which is assumed to have a lower redox potential. They also observed higher PAH concentrations in the aqueous phase under these reduced conditions (Pravecek et al. 2005).

#### Chemical properties of DOC under reduced conditions related to sorption

DOC released under reduced conditions usually exhibits the following chemical properties: 1) the DOC tends to be more aromatic and polydispersed; 2) it has higher molecular weights; and 3) it is less polar and oxygenated (Pfaender and Kim 2005, Zhu et al. 2008). Pfaender et al. (2005) also reported witnessing an increase in humic acid content and a decrease in the O:C ratios under low redox potentials. Due to these molecular changes, the sorption capacity for contaminants may change. Both Pfaender et al. (2005) and Zhu et al. (2008) found that DOC under reduced conditions exhibited a high sorption capacity for pyrene compared to sorption under oxic conditions. It is postulated that anoxic degradation of SOM polar constituents aid in diagenesis of DOC, which yields increased amounts of nonpolar OM. It has also been reported that OM from reduced conditions (deep layer pore water) had a higher molecular weight and that the molecular weight is linked to increased aromaticity of OM. Under reduced conditions, microbial activity could alter polar or "oxygenated function group-rich" DOC, creating more humified OM (Pfaender et al. 2005). The consequence of more humified material is a change in physicochemical and biochemical properties of soil to represent that of diagenesis.

#### 

#### Bioavailability of nonpolar compounds under low redox potentials

Due to the fact that microbial uptake mechanisms typically necessitate that solutes be dissolved in the aqueous phase (Ogram et al. 1985), DOC may be the most bioavailable part of soil. Bioavailability is a requirement for degradation and is limited if DOC is in unreachable areas of pores in soil. The dynamics that influence DOC biodegradability are: fundamental DOC features; soil and solution constraints; and external factors. Research has shown some correlation between denitrification rates and amounts of DOC available in soils. This parallel could indicate that the accessibility of biodegradable DOC could be a necessary environmental condition for producing reduced conditions (Marschner and Kalbitz 2003). A three-phase model proposed by Mitra et al. (1999) illustrated the behavior of hydrophobic substances in a freely dissolved phase, DOC, and suspended solids. The authors stated that greater PAH pore water concentrations are a direct outcome from PAH-DOC interactions due to DOC having a high affinity for HOCs.

Many contaminants can be found in soils with varying redox potential due to microbial utilization of diverse electron acceptors (e.g., O<sub>2</sub>, NO<sub>3</sub>, Fe<sup>3+</sup>). Thus, microbial redox activities have a strong impact on soil solid phase and aqueous chemical properties. Because of this, it is expected that microbial redox activities will have a large impact on the fate of soil contaminants (D'Angelo Reddy 2003). Previous research has shown that the amount of PAH in aqueous phases increases under anaerobic conditions, and (as discussed above) that microbial activity alters pH and DOC, thus resulting in PAH release (Zhu et al. 2008). In addition to biodegradation, microbes influence PAH concentrations in solution by changing the pH and DOC alteration. In a study by Pravecek et al. (2005), the PAH was transferred from the solid into the aqueous phase at a higher rate under anaerobic incubation than under aerobic conditions (Pravecek et al. 2005). This study also confirmed that with SOM release came release of high molecular weight PAHs (Pfaender and Kim 2005). Moeckel et al. (2014) showed a strong correlation between molecular weight of PAHs and organic carbon concentration. They found that concentrations of PAHs with five or more aromatic rings increase with greater organic carbon concentration. Pravecek et al. (2005) found that soil

under anaerobic incubation with nitrate or sulfate amendment had an increase of extractable pyrene at 365 d when compared to controls. The authors postulate it resulted from oxidation–reduction potential and pH changes caused by microbial activity. They concluded that the available terminal electron acceptor will produce the most energetically favorable microbes to control this niche (Pravecek et al. 2005).

## **Biological Degradation Rates Under Reduced Conditions Relative to Oxic Conditions**

If the increase in DOC under anoxic conditions is taken into account, it is likely that biological degradation of HOCs under reduced conditions will be higher than when compared to oxic conditions due to increased bioavailability. Some research has shown this in different contaminants. Kim et al. (2008) found that anaerobic incubation of contaminated soils can increase desorption of HOCs, increasing degradation. Some PAHs were also shown to degrade under denitrifying conditions (Hutchins et al. 1991). Fermentative degradation is also known to occur with denitrification in reduced environments. It is postulated that aromatic compounds ferment with low molecular weight organic matter due to the local microbial species acting as denitrifiers or using H<sub>2</sub> as the electron donor. Some PAHs, such as phenanthrene and naphthalane, have been shown to be degraded by sulfate-reducing microbes (Ambrosoli et al. 2005). Lu et al. (2011) also found that, under anoxic conditions, denitrification is a useful solution for some areas contaminated with PAHs.

# Micelle/Pseudomicelle Formation

The mechanisms for interactions between soluble HOC:DOC complexes and the cell surfaces of degrading microorgnisms is not fully understood at this time; however, models to describe this interaction have been proposed. Humic substances possess both hydrophobic and hydrophilic properties. This amphiphilic quality allows for it to act as the main carrier in solubilization of HOCs. DOC has been shown to produce surfactant-like properties (Cho et al. 2002) which could allow for enhanced mobility of nonpolar compounds under reduced conditions. The idea of a pseudomicelle has been proposed using fluorescence-polarization. Morra et al. (1990) reported that naphthalene and 1-naphthol interact with humic acid by

creating a loose cage without binding. Other studies refer to humic acid solutions as true micelles because they have similar characteristics with critical micelle concentrations (CMC) (Chiou et al. 1986). Also, once the CMC is reached, the humic acid micellar solution will solubilize PAHs (Vacca et al. 2005). This proposed "true" micelle of humic acids has been observed in concentrated alkaline aqueous solutions (Guetzloff and Rice 1994). According to this possible model, humic acid surfactants could greatly increase partitioning of HOCs into the aqueous phase after the CMC has been reached (Pan et al. 2008). The actual uptake of contaminants by the cell has little supporting evidence, but Guhu et al. (1996) proposed a model using a hemi-micelle. Their hypothesis suggested that filled micelles (with the PAH partitioned in the hydrophobic core) come into contact with cells by mixing. At this point, the cells/enzymes are surrounded by a hemi-micellar layer containing the PAH (Fig 2). This allows for the PAH to diffuse into the cell to be degraded. This phenanthrene-based model assumes that the system is completely mixed, equilibrium is established, and degradation kinetics are not affected by the surfactant.

351 Conclusions

The fate of organic contaminants is influenced by many factors. Ecological influences as well as intrinsic physical properties determine sorption/desorption rates and thus bioavailability. Yet, DOC has been shown to be a key player in desorption mechanisms by aiding in the change of physiochemical properties under anaerobic or anoxic incubation. Under such conditions, microbial metabolism causes an increase in pH. This increase results in a drop in redox conditions, which in turn encourages a humification-like change in organic soil that causes an increase in aromaticity in SOM. This alteration increases the release of with DOC and contaminants from the solid matrix. The surfactant-like properties in DOC has led to the proposal of a micelle model for interaction between DOC, HOC, and degradating bacterial cells, in which a hemi-micelle transports the HOC to the soil microorganism for degradation. Understanding the relationship between reduced soil conditions and contaminants is critical for biodegradation and ecological health studies.

364	
365	Acknowlegements
366	The authors thank Aaron Sotala of the University of Florida's Center for Online Learning and Technology
367	for drawing the figures. Professors Peter Nkedi-Kizza and Christopher Wilson are thanked for critical
368	suggestions during the writing of this manuscript.
369	
370	References
371	
372	Ambrosoli R., L. Petruzzelli, J.L. Minati, and F.A. Marsan. 2005. Anaerobic PAH degradation in soil by a
373	mixed bacterial consortium under denitrifying conditions. Chemosphere 60: 1231–1236.
374 375	Bengtsson, G. and P. Zerhouni. 2003. Effects of carbon substrate enrichment and DOC concentration on biodegradation of PAHs in soil. J. Appl. Microbiol. 94: 608-617.
376 377	Bronner G. and K.U. Goss. 2011. Sorption of organic chemicals to soil organic matter: Influence of soil variability and pH dependence. Environ. Sci. Technol. 45:1307–1312.
311	variability and pri dependence. Environ. Sci. Technol. 43.1307–1312.
378	Burgess, R. M., M.J. Ahrens, C.W Hickey, P.J. den Besten, D. ten Hulscher, B. van Hattum and P. E.
379	Douben. 2003. An overview of the partitioning and bioavailbility of PAHs in sediments and soils. pp. 97-
380	126. In: P.E.T. Douben [ed]. PAHs: An ecotoxicological perspective. Wiley Online Library.
381 382	Caron G., I.H. Suffet and T. Belton. 1985. Effect of dissolved organic carbon on the environmental distribution of nonpolar organic compounds. Chemosphere 14: 993–1000.
383 384	Chang, B. V., L.C. Shiung and S. Y. Yuan. 2002. Anaerobic biodegradation of polycyclic aromatic hydrocarbon in soil. Chemosphere 48: 717-724.

- Chiou C.T., R.L Malcolm, T.I Brinton, and D.E Kile. 1986. Water solubility enhancement of some organic
- pollutants and pesticides by dissolved humic and fulvic acids. Environ. Sci. Technol. 20: 502–508.
- 387 Cho, H. H., J. Choi, M.N. Goltz and J.W. Park. 2002. Combined effect of natural organic matter and
- surfactants on the apparent solubility of polycyclic aromatic hydrocarbons. J. Environ. Qual. 31: 275-280.
- Cuypers, C., T. Grotenhuis, K. G. Nierop, E.M. Franco, A. de Jager and W. Rulkens. 2002. Amorphous
- 390 and condensed organic matter domains: the effect of persulfate oxidation on the composition of
- 391 soil/sediment organic matter. Chemosphere 48: 919-931
- 392 D'Angelo E. and K.R. Reddy. 2003. Effect of aerobic and anaerobic conditions on chlorophenol sorption
- 393 in wetland soils. Soil Sci. Soc. Am. J. 67: 787-794.
- 394 Ehlers G.A. and A.P. Loibner. 2006. Linking organic pollutant (bio)availability with geosorbent properties
- and biomimetic methodology: a review of geosorbent characterisation and (bio)availability prediction
- 396 Environ. Pollut. 141: 494–512.
- 397 Guetzloff, T. F. and J.A. Rice. 1994. Does humic acid form a micelle? Sci. Total Environ. 152: 31-35.
- 398 Guha, S. and P. R. Jaffé. 1996. Bioavailability of hydrophobic compounds partitioned into the micellar
- phase of nonionic surfactants. Environ. Sci. Technol. 30: 1382-1391.
- 400 Gunasekara, A. S., M.J. Simpson and B. Xing. 2003. Identification and characterization of sorption domains
- in soil organic matter using structurally modified humic acids. Environ. Sci. Technol. 37: 852-858.
- 402 Hu, M. Q. and M.L. Brusseau. 1998. Coupled effects of nonlinear, rate-limited sorption and biodegradation
- on transport of 2, 4-dichlorophenoxyacetic acid in soil. *Environ. Toxicol. Chem.* 17: 1673-1680.

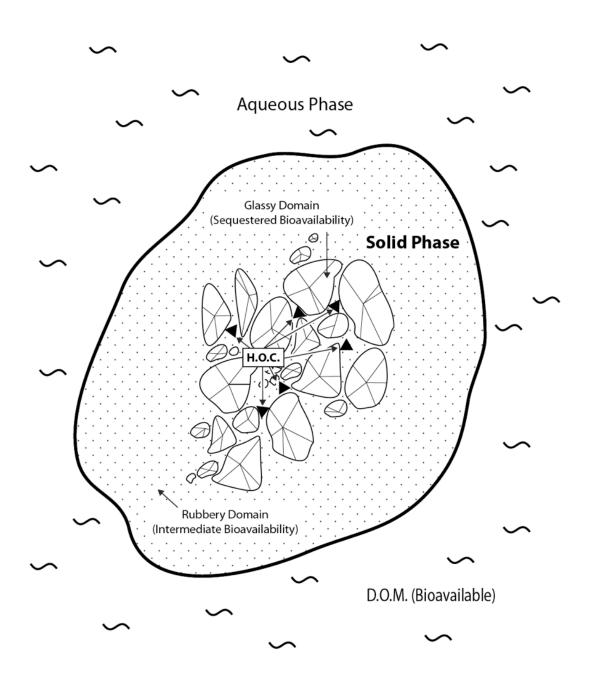
- Huang, W. and W.J. Weber. 1997. A distributed reactivity model for sorption by soils and sediments. 10.
- 405 Relationships between desorption, hysteresis, and the chemical characteristics of organic domains. Environ.
- 406 Sci. Technol. 31: 2562-2569.
- 407 Huang W.L., P.A Peng, Z.Q. Yu and J.M. Fu. 2003. Effects of organic matter heterogeneity on sorption
- and desorption of organic contaminants by soils and sediments. Appl. Geochem. 18: 955–972.
- 409 Hur, J., B.M. Lee, and H.S. Shin. 2011. Microbial degradation of dissolved organic matter (DOC) and its
- influence on phenanthrene–DOC interactions. Chemosphere 85: 1360-1367.
- 411 Hutchins, S. R., Sewell, G. W., Kovacs, D. A., and Smith, G. A. (1991). Biodegradation of aromatic
- hydrocarbons by aquifer microorganisms under denitrifying conditions. Environ. Sci. Technol. 25: 68-76.
- Käcker, T., E.T. Haupt, C. Garms, W. Francke, and H. Steinhart. 2002. Structural characterisation of humic
- 414 acid-bound PAH residues in soil by 13C-CPMAS-NMR-spectroscopy: evidence of covalent
- 415 bonds. Chemosphere 48: 117-131.
- 416 Kalbitz K., S. Solinger, J.H Park, B. Michalzik and E. Matzner. 2000. Controls on the dynamics of
- dissolved organic matter in soils: a review. Soil Science 165:277–304.
- 418 Kang S. and B. Xing. 2005. Phenanthrene Sorption to Sequentially Extracted Soil Humic Acids and
- 419 Humins. Environ. Sci. Technol. 39:134-140.
- 420 Kim H.S., K.S. Lindsay and F.K. Pfaender. 2008a. Enhanced mobilization of field contaminated soil-bound
- PAHs to the aqueous phase under anaerobic conditions. Water Air. Soil Pollut. 189: 135–147.
- 422 Kim H.S., C.J. Roper and F.K. Pfaender. 2008b. Impacts of microbial redox conditions on the phase
- distribution of pyrene in soil–water systems. Environ. Pollut. 152: 106–115

- 424 Ling, W., Y. Zeng, Y. Gao, H. Dang and X. Zhu. 2010. Availability of polycyclic aromatic hydrocarbons in
- 425 aging soils. J. Soil Sedi. 10: 799-807.
- 426 Lu X.Y., T. Zhang and H.H. Fang. 2011. Bacteria-mediated PAH degradation in soil and sediment. Appl.
- 427 Microbiol. Biotechnol. 89:1357-71.
- 428 Lu X.Y., T. Zhang, H.H.P Fang, K.M.Y. Leung and G. Zhang. 2011. Biodegradation of naphthalene by
- 429 enriched marine denitrifying bacteria. Internat. Biodeter. Biodegrad. 65: 204–211.
- 430 Lyon, W. G. 1995. Swelling of peats in liquid methyl, tetramethylene and propyl sulfoxides and in liquid
- propyl sulfone. Environ. Toxicol. Chem. 14: 229–236.
- 432 Mao JD, L. Hundal, M. Thompson and K. Schmidt-Rohr. 2002. Correlation of poly (methylene)-rich
- amorphous aliphatic domains in humic substances with sorption of a nonpolar organic contaminant,
- phenanthrene. Environ. Sci. Technol 36: 929–936.
- 435 Mao J. D. and K. Schmidt-Rohr. 2006. Absence of mobile carbohydrate domains in dry humic substances
- proven by NMR, and implications for organic-contaminant sorption models. Environ. Sci. Technol.
- 437 40:1751–1756.
- 438 Marschner B. and K. Kalbitz. 2003. Controls of bioavailability and biodegradability of dissolved organic
- 439 matter in soils. Geoderma 113:211–235.
- 440 Mitra, S., & Dickhut, R. M. 1999. Three-phase modeling of polycyclic aromatic hydrocarbon association
- with pore-water-dissolved organic carbon. Environ. Toxicol. Chem. 18: 1144-1148.
- Moeckel, C., Monteith, D. T., Llewellyn, N. R., Henrys, P. A., & Pereira, M. G. 2014. Relationship between
- the concentrations of dissolved organic matter and polycyclic aromatic hydrocarbons in a typical UK upland
- 444 stream. Environ. Sci. Technol. 48: 130-138.

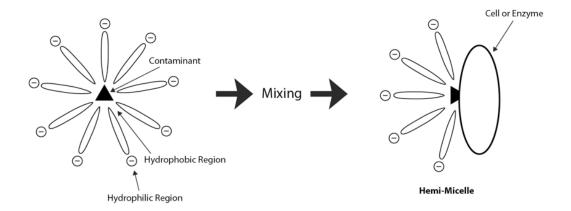
- 445 Morra, M. J., M.O. Corapcioglu, R.M.A Von Wandruszka, D.B Marshall and K. Topper. 1990.
- 446 Fluorescence quenching and polarization studies of naphthalene and 1-naphthol interaction with humic
- 447 acid. Soil Sci. Soc. Am. J. 54: 1283-1289
- 448 Ogram, A.V., R.E Jessup, L.T. Ou, & Rao P.C. 1985. Effects of Sorption on Biological Degradation Rates
- of (2,4-dichlorophenoxy)acetic acid in Soils. Appl. Environ. Microbio. 49: 582-587.
- 450 Pan B., P. Ning and B. Xing. 2008. Humic substances-review series. Part IV-Sorption of hydrophobic
- organic contaminants. Environ. Sci. Pollut. Res. 15:554–564.
- Pedersen J.A, C.J Gabelich, C.H Lin and Suffet I.H. 1999. Aeration effects on the partitioning of a PCB to
- anoxic estuarine sediment pore water dissolved organic matter. Environ. Sci. Technol 33: 1388–1397.
- 454 Pfaender F.K. and H.S. Kim. 2005. Effects of microbially mediated redox conditions on PAH-soil
- interactions Environ. Sci. Technol. 39: 9189–9196.
- 456 Prayecek T.L., R.F. Christman and F.K. Pfaender. 2005. Impact of imposed anaerobic conditions and
- 457 microbial activity on aqueous-phase solubility of polycyclic aromatic hydrocarbons from soil. Environ.
- 458 Toxicol. Chem. 24: 286–293.
- 459 Pravecek T.L., R.E. Christman and F.K. Pfaender. 2006. Microbial bioavailability of pyrene in three
- laboratory-contaminated soils under aerobic and anaerobic conditions. J. Contam. Hydrol. 86:72-86.
- Richnow H.H., E. Annweiler, M. Koning, J.C. Lüth, R. Stegmann, C. Garms, W. Francke and W. Michaelis.
- 462 2000. Tracing the transformation of labelled [1-13C] phenanthrene in a soil bioreactor. Environ. Pollut.
- 463 108:91–101.
- Schmidt M.W.I., M.S. Torn and S. Abiven. 2011. Persistence of soil organic matter as an ecosystem
- 465 property. Nature 478: 49-56.

- Smernik R.J. and RS Kookana. 2015. The effects of organic matter-mineral interactions and organic matter
- chemistry on diuron sorption across a diverse range of soils. Chemosphere 119:99–104.
- Sorensen K.C., J.W. Stucki, R.E. Warner, E.D. Wagner and M.J. Plewa. 2005. Modulation of the
- genotoxicity of pesticides reacted with redoxmodified smectite clay. Environ. Mol. Mutagen. 46:174–181.
- 470 Stevenson, F. J. 1994. Humus chemistry: genesis, composition, reactions. John Wiley & Sons.
- Vacca, D. J., W.F. Bleam and W.J. Hickey. 2005. Isolation of soil bacteria adapted to degrade humic acid-
- 472 sorbed phenanthrene. Appl. Environ. Microbiol. 71: 3797-3805.
- 473 Van Metre, P. C. and B.J. Mahler. 2005. Trends in hydrophobic organic contaminants in urban and
- 474 reference lake sediments across the United States, 1970–2001. Environ. Sci. Technol. 39: 5567-5574.
- White, J. C., M. Hunter, J.J. Pignatello and M. Alexander. 1999. Increase in bioavailability of aged
- 476 phenanthrene in soils by competitive displacement with pyrene. Environment. Toxicol. Chem. 18:1728-
- 477 1732.
- 478 Xing, B. and J.J. Pignatello. 1996. Time-dependent isotherm shape of organic compounds in soil organic
- 479 matter: Implications for sorption mechanism. *Environment. Toxicol. Chem.* 15: 1282-1288.
- 480 Xing, B. and J.J. Pignatello. 1997. Dual-mode sorption of low-polarity compounds in glassy poly (vinyl
- 481 chloride) and soil organic matter. Environ. Sci. Technol. 31: 792-799.
- 482 Young, T. M. and W. J. J Weber. 1995. A distributed reactivity model for sorption by soils and sediments.
- 483 3. Effects of diagenetic processes on sorption energetics. Environ. Sci. Technol. 29: 92-97.
- Zhu H., J.C. Roper, F.K. Pfaender and M.D. Aitken. 2008. Effects of anaerobic incubation on the desorption
- of polycyclic aromatic hydrocarbons from contaminated soils. *Environment. Toxicol. Chem.* 27: 837–844.

486	Figure Legends
487	
488	Figure 1. Bioavailablility of Hydrophobic Organic Contaminants. Dual-mode influence determines the
489	bioavailabity of the containminant within the soil complex. After Mao J. D. and K. Schmidt-Rohr (2006).
490	
491	Figure 2. Humic Acid Micelle Formation and Pseudosolubilization. Micelle formation is first formed in
492	reduced conditions. After mixing, the contaminant is available to be transferred to the cell. After Guhu et
493	al. (1996).
494	
495	
496	



497498 Figure 1.



500501 Figure 2